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PTO/SB/21 (08-00)  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

10/076,967

Filing Date

February 15, 2002

First Named Inventor

William E. RICH et al.

Group Art Unit

1645

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Examiner Name

To Be Assigned

TECH CENTER 1600/2900

Attorney Docket Number

016866-005710US

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| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers<br><i>(for an Application)</i>               | <input type="checkbox"/> After Allowance Communication to Group                                      |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                  |
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|                          |                                    |                 |
|--------------------------|------------------------------------|-----------------|
| Firm and Individual name | Townsend and Townsend and Crew LLP | Reg. No. 42,058 |
| Signature                |                                    |                 |
| Date                     | October 16, 2002                   |                 |

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| Signature             |                  | Date | October 16, 2002 |

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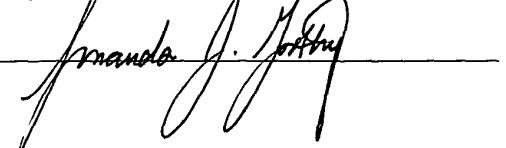
SF 1393902 v1

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On OCTOBER 16, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: 

PATENT  
Attorney Docket No.: 016866-005710US

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

#7/I.D.S.

In re application of:

William E. RICH and T. William  
HUTCHENS

Application No.: 10/076,967

Filed: February 14, 2002

For: METHOD FOR CORRELATING  
GENE EXPRESSION PROFILES  
 WITH PROTEIN EXPRESSION  
PROFILES

Examiner: To Be Assigned

Art Unit: 1645

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and be made of record therein and appear among the "references cited" on any patent to issue therefrom.

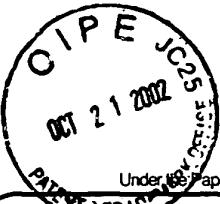
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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Respectfully submitted,

  
Annette S. Parent  
Reg. No. 42,058

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Substitute for Form 1449B/PTO

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

|                      |                        |
|----------------------|------------------------|
| Application Number   | 10/076,967             |
| Filing Date          | February 14, 2002      |
| First Named Inventor | William E. RICH et al. |
| Art Unit             | 1645                   |
| Examiner Name        | To Be Assigned         |

Attorney Docket Number 016866-005710US

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**U.S. PATENT DOCUMENTS**

| Examiner | Cite No. <sup>1</sup> | Document Number<br>Number Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
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**FOREIGN PATENT DOCUMENTS**

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|--------------------|-----------------------|---------------------------|---------------------|-----------------------------------|--|--|--------------------------|
|                    |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |  |  |                          |
|                    | 1                     | WO                        | WO 00/22168         | A1                                | 04-20-2000   |  | <input type="checkbox"/> |
|                    | 2                     | WO                        | WO 01/57530         | A1                                | 08-09-2001   |  | <input type="checkbox"/> |

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials * | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|---------------------|-----------------------|---|----------------|
|                     | 3                     | BORMAN, S. "Genomics rises to drug discovery challenge," <i>C&amp;EN</i> April 19, 1999, pp. 59-68.   |                |
|                     | 4                     | CHAKRAVARTI, D.N. "Application of genomics and proteomics for identification of bacterial gene products as potential vaccine candidates," <i>Vaccine</i> 2001, pp. 601-612, Vol. 19.  |                |
|                     | 5                     | HANCOCK, W. et al. "Report: Integrated genomic/proteomic analysis," <i>Analytical Chemistry News &amp; Features</i> November 1, 1999, pp. 742A-748A.  |                |
|                     | 6                     | NELSON, P.S. et al. "Comprehensive analyses of prostate gene expression: Convergence of expressed sequence tag databases, transcript profiling and proteomics," <i>Electrophoresis</i> 2000, pp. 1823-1831, Vol. 21.  |                |

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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